

GLAXO WELLCOME

(AUTO)

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## ERRORS

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## FAX



GlaxoSmithKline

To USPTO Assignment Services Div.

Fax (703) 306-5995

From Patty Wilson

Tel 919 483 1007 Fax 919 483-7977

E-mail patricia.t.wilson@gsk.com

Date June 23, 2005 Pages including cover 7

Subject US Patent 6,465,430

Applicant: DOWER et al.

Attorney Ref: PK3065US2

Attachments: Recordation Cover sheet (1 pg) in duplicate;  
Certificate Under 37 CFR 3.73(h) (1 nn):

# FAX

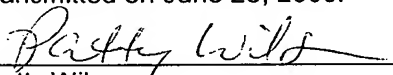


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Date June 23, 2005 Pages including cover 7  
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Subject US Patent 6,465,430  
  
Applicant: DOWER et al.  
  
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.....

Attachments: Recordation Cover sheet (1 pg) in duplicate;  
Certificate Under 37 CFR 3.73(b) (1 pg);  
Merger Documentation (3 pgs).

## Certificate of Transmission by Facsimile (37 CFR 1.8)

I hereby certify that this correspondence is being facsimile transmitted on June 23, 2005.

  
\_\_\_\_\_  
Patty Wilson

Docket No. PK3065US2

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Glaxo Wellcome Inc.

Additional name(s) of conveying party(ies) attached?

3. Nature of conveyance:

Assignment

☒ Merger

Security Agreement

Change of Name

Other \_\_\_\_\_

Execution Date: March 30, 2001

2. Name and address of receiving party(ies):

Name: **SmithKline Beecham Corporation**

Street Address: One Franklin Plaza  
Philadelphia, Pennsylvania 19102

Additional name(s) & address(es) attached?

Yes

No

4. Application number(s) or patent number(s):

**6,465,430**

If this document is being filed together with a new application, the Express Mail date of the application is:

A. Patent Application No.(s)

Patent No.(s)

Additional numbers attached?

Yes

☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Virginia G. Campen

Internal Address: GlaxoSmithKline  
Corporate Intellectual Property

Street Address: Five Moore Drive, Mail B475  
PO Box 13398

City: RTP State: NC Zip: 27709

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): ..... \$ **40.00**

Enclosed

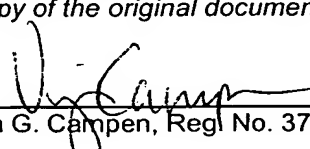
☒ Authorized to be charged to deposit account

8. Deposit account number: **07-1392**

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

X   
Virginia G. Campen, Regl No. 37,092

23 June 05  
Date

Total number of pages comprising cover sheet: 1 of 1

Docket No. PK3065US2

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Glaxo Wellcome Inc.

Additional name(s) of conveying party(ies) attached?

3. Nature of conveyance:

Assignment

☒ **Merger**

Security Agreement

Change of Name

Other \_\_\_\_\_

Execution Date: March 30, 2001

2. Name and address of receiving party(ies):

Name: **SmithKline Beecham Corporation**

Street Address: **One Franklin Plaza  
Philadelphia, Pennsylvania 19102**

Additional name(s) & address(es) attached?

Yes

**No**

4. Application number(s) or patent number(s):

**6,465,430**

If this document is being filed together with a new application, the Express Mail date of the application is:

A. Patent Application No.(s)

Patent No.(s)

Additional numbers attached?

Yes

☒ **No**

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Virginia G. Campen**

Internal Address: **GlaxoSmithKline**

**Corporate Intellectual Property**

Street Address: **Five Moore Drive, Mail B475**

**PO Box 13398**

City: **RTP** State: **NC** Zip: **27709**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): ..... **\$ 40.00**

Enclosed

☒ **Authorized to be charged to deposit account**

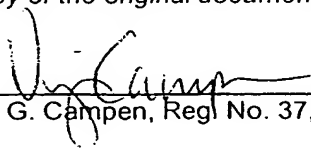
8. Deposit account number: **07-1392**

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

X

  
Virginia G. Campen, Reg. No. 37,092

**23 June 05**  
Date

Total number of pages comprising cover sheet: **1 of 1**

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

Applicant: William J. DOWER, Ronald W. BARRETT, Steven E. CWIRLA,  
David J. DUFFIN, Christian M. GATES, Sherril S. HASELDEN, Larry C.  
MATTHEAKIS, Peter J. SCHATZ, Christopher R. WAGSTROM, Nicholas C.  
WRIGHTON

Patent No.: 6,465,430 Granted: October 15, 2002  
For: PEPTIDES AND COMPOUNDS THAT BIND TO A THROMBOPOIETIN  
RECEPTOR

**SmithKline Beecham Corporation.**  
(Name of Assignee)

**Corporation**  
(Type of Assignee, e.g. corporation,  
partnership, university, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded on October 27, 1999 in the Patent and Trademark Office at Reel/Frame 010347, and 0272, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: To:  
The document was recorded in the Patent and Trademark Office at  
Reel , Frame , or which a copy thereof is attached.

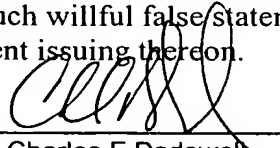
☒ Additional documents in the chain of title are listed here:  
**Articles of Merger of SmithKline Beecham Corporation showing merged entity is Glaxo Wellcome Inc., effective March 31, 2001, and a copy is attached.**

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is given below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 06/23/2005  
SmithKline Beecham Corporation  
d/b/a GlaxoSmithKline.  
Corporate Intellectual Property  
Mai B475, Five Moore Drive  
P.O. Box 13398  
Research Triangle Park, NC 27709  
Telephone No.: (919) 483-2370  
Facsimile No.: (919) 483-7988

Signature:   
By: Charles E Dadswell  
Title: Attorney  
(resolution is attached)

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE

APRIL 05, 2001

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

SMITHKLINE BEECHAM CORPORATION

I, Kim Pizzingrilli, Secretary of the Commonwealth of  
Pennsylvania do hereby certify that the foregoing and annexed is a true  
and correct photocopy of Articles of Merger restating the Articles of  
Incorporation in their entirety  
which appear of record in this department



IN TESTIMONY WHEREOF, I have  
hereunto set my hand and caused  
the Seal of the Secretary's  
Office to be affixed, the day  
and year above written.

*Kim Pizzingrilli*

Secretary of the Commonwealth

DPOS



200124-956

OSCB:15-1726 (Rev 90)-2

## 4. (Check, and if appropriate complete, one of the following):

☐ The plan of merger shall be effective upon filing these Articles of Merger in the Department of State.

☒ The plan of merger shall be effective on: March 31, 2001 at 11:30 p.m.  
Date Hour

## 5. The manner in which the plan of merger was adopted by each domestic corporation is as follows:

Name of Corporation

Manner of Adoption

SmithKline Beecham CorporationAdopted by the directors and shareholders  
pursuant to 15 Pa.C.S. § 1924(a).

## 6. (Strike out this paragraph if no foreign corporation is a party to the merger). The plan was authorized, adopted or approved, as the case may be, by the foreign business corporation (or each of the foreign business corporations) party to the plan in accordance with the laws of the jurisdiction in which it is incorporated.

## 7. (Check, and if appropriate complete, one of the following):

☒ The plan of merger is set forth in full in Exhibit A attached hereto and made a part hereof.

☐ Pursuant to 15 Pa.C.S. § 1901 (relating to omission of certain provisions from filed plans) the provisions, if any, of the plan of merger that amend or constitute the operative Articles of Incorporation of the surviving corporation as in effect subsequent to the effective date of the plan are set forth in full in Exhibit A attached hereto and made a part hereof. The full text of the plan of merger is on file at the principal place of business of the surviving corporation, the address of which is:

Number and Street City State Zip County

IN TESTIMONY WHEREOF, the undersigned corporation or each undersigned corporation has caused these Articles of Merger to be signed by a duly authorized officer thereof this March day of 2001.

SMITHKLINE BEECHAM CORPORATION

(Name of Corporation)

BY: Donald F. Farman

(Signature)

NAME: Donald F. Farman, SecretaryGLAXO WELLCOME INC.

(Name of Corporation)

BY: Paul A. Holcombe, Jr.

(Signature)

NAME: Paul A. Holcombe, Jr., Secretary



GLAXO WELLCOME

(AUTO)

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## FAX



GlaxoSmithKline

To USPTO Assignment Services Div.

Fax (703) 306-5995

From Patty Wilson

Tel 919 483 1007 Fax 919 483-7977

E-mail patricia.t.wilson@gsk.com

Date June 23, 2005 Pages including cover 7

Subject US Patent 6,083,913

Applicant: DOWER et al.

Attorney Ref: PK3065USw

Attachments: Recordation Cover sheet (1 pg) in duplicate;  
Certificate Under 37 CFR 2.72(b) (1 pg)

# FAX

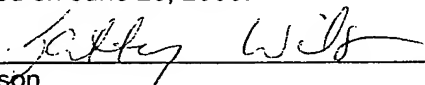


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From Patty Wilson  
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Tel 919 483 1007 Fax 919 483-7977  
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E-mail patricia.t.wilson@gsk.com  
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Date June 23, 2005 Pages including cover 7  
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Subject US Patent 6,083,913  
  
Applicant: DOWER et al.  
  
Attorney Ref: PK3065USw  
.....

Attachments: Recordation Cover sheet (1 pg) in duplicate;  
Certificate Under 37 CFR 3.73(b) (1 pg);  
Merger Documentation (3 pgs).

## Certificate of Transmission by Facsimile (37 CFR 1.8)

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\_\_\_\_\_  
Patty Wilson

Docket No. PK3065USw

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Glaxo Wellcome Inc.

Additional name(s) of conveying party(ies) attached

3. Nature of conveyance:

Assignment

☒ Merger

Security Agreement

Change of Name

Other \_\_\_\_\_

Execution Date: March 30, 2001

2. Name and address of receiving party(ies):

Name: **SmithKline Beecham Corporation**

Street Address: One Franklin Plaza  
Philadelphia, Pennsylvania 19102

Additional name(s) & address(es) attached?

Yes

No

4. Application number(s) or patent number(s):

**6,083,913**

If this document is being filed together with a new application, the Express Mail date of the application is:

A. Patent Application No.(s)

Patent No.(s)

Additional numbers attached?

Yes

☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Virginia G. Campen

Internal Address: GlaxoSmithKline

Corporate Intellectual Property

Street Address: Five Moore Drive, Mail B475

PO Box 13398

City: RTP State: NC Zip: 27709

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): ..... \$ **40.00**

Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **07-1392**

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

X

Virginia G. Campen, Reg. No. 37,092

Date

23 June 05

Total number of pages comprising cover sheet: 1 of 1

Docket No. PK3065USw

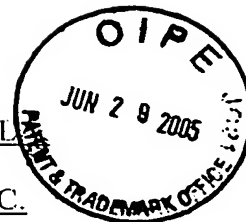
RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
<p>1. Name of conveying party(ies): <b>Glaxo Wellcome Inc.</b></p> <p>Additional name(s) of conveying party(ies) attached _____</p> <p>3. Nature of conveyance: Assignment _____ <u><b>X Merger</b></u> Security Agreement _____ Change of Name _____ Other _____</p> <p>Execution Date: March 30, 2001</p>	<p>2. Name and address of receiving party(ies): Name: <b>SmithKline Beecham Corporation</b> Street Address: One Franklin Plaza Philadelphia, Pennsylvania 19102</p> <p>Additional name(s) &amp; address(es) attached? Yes <u><b>No</b></u></p>
<p>4. Application number(s) or patent number(s): <b>6,083,913</b></p> <p>If this document is being filed together with a new application, the Express Mail date of the application is: _____</p> <p>A. Patent Application No.(s) _____ Patent No.(s) _____</p> <p>Additional numbers attached? Yes <u><b>X No</b></u></p>	
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <b>Virginia G. Campen</b></p> <p>Internal Address: <b>GlaxoSmithKline</b> <b>Corporate Intellectual Property</b></p> <p>Street Address: <b>Five Moore Drive, Mai B475</b> <b>PO Box 13398</b></p> <p>City: <b>RTP</b> State: <b>NC</b> Zip: <b>27709</b></p>	<p>6. Total number of applications and patents involved: <u><b>1</b></u></p> <p>7. Total fee (37 CFR 3.41): ..... <b>\$ 40.00</b> Enclosed <u><b>X</b></u> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <b>07-1392</b></p>
<p>DO NOT USE THIS SPACE</p>	
<p>9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <p>X <u><i>Virginia G. Campen</i></u> _____ <u><b>23 June 05</b></u> _____ Virginia G. Campen, Reg. No. 37,092 Date</p> <p>Total number of pages comprising cover sheet: <u><b>1 of 1</b></u></p>	

*Duplicate*

PATENT NO. 6,083,913

**CERTIFICATE UNDER 37 C.F.R. §3.73(b)**



Applicant: William J. DOWER, Ronald W. BARRETT, Steven E. CWIRL  
David J. DUFFIN, Christian M. GATES, Sherril S. HASELDEN, Larry C.  
MATTHEAKIS, Peter J. SCHATZ, Christopher R. WAGSTROM, Nicholas C.  
WRIGHTON  
Patent No.: 6,083,913 Granted: July 4, 2000  
For: PEPTIDES AND COMPOUNDS THAT BIND TO A THROMBOPOIETIN  
RECEPTOR

**SmithKline Beecham Corporation.**

(Name of Assignee)

**Corporation**

(Type of Assignee, e.g. corporation,  
partnership, university, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded on October 27, 1999 in the Patent and Trademark Office at Reel/Frame 010347, and 0272, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From:

To:

The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or which a copy thereof is attached.

☒ Additional documents in the chain of title are listed here:

**Articles of Merger of SmithKline Beecham Corporation showing merged entity is Glaxo Wellcome Inc., effective March 31, 2001, and a copy is attached.**

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is given below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 06/29/2005

SmithKline Beecham Corporation  
d/b/a GlaxoSmithKline.  
Corporate Intellectual Property  
Mai B475, Five Moore Drive  
P.O. Box 13398  
Research Triangle Park, NC 27709  
Telephone No.: (919) 483-2370  
Facsimile No.: (919) 483-7988

Signature: [Signature]

By: Charles E Dadswell  
Title: Attorney  
**(resolution is attached)**

C O M M O N W E A L T H   O F   P E N N S Y L V A N I A

D E P A R T M E N T   O F   S T A T E

APRIL 05, 2001

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

SMITHKLINE BEECHAM CORPORATION

I, Kim Pizzingrilli, Secretary of the Commonwealth of Pennsylvania do hereby certify that the foregoing and annexed is a true and correct photocopy of Articles of Merger restating the Articles of Incorporation in their entirety which appear of record in this department



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

*Kim Pizzingrilli*

Secretary of the Commonwealth

DPOS

200124 - 955

MAR 30 2001

Microfilm Number \_\_\_\_\_

Filed with the Department of State on \_\_\_\_\_

Entry Number 333095
  
 Secretary of the Commonwealth JK

# ARTICLES OF MERGER-DOMESTIC BUSINESS CORPORATION

DS-C&S-1724 (Rev. 90)

In compliance with the requirements of 15 Pa.C.S. § 1926 (relating to articles of merger or consolidation), the undersigned business corporations, desiring to effect a merger, hereby state that:

1. The name of the corporation surviving the merger is: SmithKline Beecham Corporation

2. (Check and complete one of the following):

☒ The surviving corporation is a domestic business corporation and the (a) address of its current registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is (the Department is hereby authorized to correct the following information to conform to the records of the Department):

(a) One Franklin Plaza, 200 North 16th Street, Philadelphia, PA 19102 Phila.  
 Number and Street City State Zip County

(b) c/o: \_\_\_\_\_ County  
 Name of Commercial Registered Office Provider

For a corporation represented by a commercial registered office provider, the county in (b) shall be deemed the county in which the corporation is located for venue and official publication purposes.

The surviving corporation is a qualified foreign business corporation incorporated under the laws of \_\_\_\_\_ and the (a) address of its current registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is (the Department is hereby authorized to correct the following information to conform to the records of the Department):

(a) \_\_\_\_\_ City State Zip County  
 Number and Street

(b) c/o: \_\_\_\_\_ County  
 Name of Commercial Registered Office Provider

For a corporation represented by a commercial registered office provider, the county in (b) shall be deemed the county in which the corporation is located for venue and official publication purposes.

The surviving corporation is a nonqualified foreign business corporation incorporated under the laws of \_\_\_\_\_ and the address of its principal office under the laws of such domiciliary jurisdiction is:

\_\_\_\_\_ City State Zip  
 Number and Street

3. The name and the address of the registered office in this Commonwealth or name of its commercial registered office provider and the county of venue of each other domestic business corporation and qualified foreign business corporation which is a party to the plan of merger are as follows:

Name of Corporation	Address of Registered Office or Name of Commercial Registered Office Provider	County
<u>Glaxo Wellcome Inc.</u>	<u>CT Corporation System</u>	<u>Philadelphia</u>
_____	_____	_____
_____	_____	_____

200124 - 956

DSCB:15-1926 (Rev 90)-2

## 4. (Check, and if appropriate complete, one of the following):

☐ The plan of merger shall be effective upon filing these Articles of Merger in the Department of State.

☒ The plan of merger shall be effective on: March 31, 2001 at 11:30 p.m.  
Date Hour

## 5. The manner in which the plan of merger was adopted by each domestic corporation is as follows:

Name of Corporation

Manner of Adoption

SmithKline Beecham CorporationAdopted by the directors and shareholders pursuant to 15 Pa.C.S. § 1924(a).

## 6. (Strike out this paragraph if no foreign corporation is a party to the merger). The plan was authorized, adopted or approved, as the case may be, by the foreign business corporation (or each of the foreign business corporations) party to the plan in accordance with the laws of the jurisdiction in which it is incorporated.

## 7. (Check, and if appropriate complete, one of the following):

☒ The plan of merger is set forth in full in Exhibit A attached hereto and made a part hereof.

☐ Pursuant to 15 Pa.C.S. § 1901 (relating to omission of certain provisions from filed plans) the provisions, if any, of the plan of merger that amend or constitute the operative Articles of Incorporation of the surviving corporation as in effect subsequent to the effective date of the plan are set forth in full in Exhibit A attached hereto and made a part hereof. The full text of the plan of merger is on file at the principal place of business of the surviving corporation, the address of which is:

Number and Street City State Zip County

IN TESTIMONY WHEREOF, the undersigned corporation or each undersigned corporation has caused these Articles of Merger to be signed by a duly authorized officer thereof this March day of 2001.

SMITHKLINE BEECHAM CORPORATION

(Name of Corporation)

BY: Donald F. Parman

(Signature)

TITLE: Donald F. Parman, SecretaryGLAXO WELLCOME INC.

(Name of Corporation)

BY: Paul A. Holcombe, Jr.

(Signature)

TITLE: Paul A. Holcombe, Jr., Secretary



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